

## **Current regulatory framework:**

### **EMIR**

- EMIR: [Regulation \(EU\) No 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories](#)

#### **Trade reporting**

- [Regulatory technical standards on the minimum details of the data to be reported to trade repositories](#)
- [Implementing technical standards on the minimum details of the data to be reported to trade repositories](#)
- [Regulatory technical standards specifying the data to be published and made available by trade repositories and operational standards for aggregating, comparing and accessing the data](#)

#### **Trade repositories**

- [Regulatory technical standards specifying the details of the application for registration as a trade repository](#)
- [Implementing technical standards specifying the details of the application for registration as a trade repository](#)
- Commission delegated Regulation on [rules of procedure for penalties imposed on trade repositories](#)
- Commission Delegated Regulation [on fees charged by the ESMA to trade repositories](#)

#### **OTC derivatives and clearing obligation**

- [Regulatory technical standards on indirect clearing arrangements, the clearing obligation, the public register, access to a trading venue, non-financial counterparties, risk mitigation techniques for OTC derivatives contracts not cleared by a CCP](#)
- [Regulatory technical standards on direct, substantial and foreseeable effect of contracts within the Union and to prevent the evasion](#)

#### **CCPs**

- [Regulatory technical standards on capital requirements for central counterparties](#)
- [Regulatory technical standards on requirements for central counterparties](#)
- [Regulatory technical standards on colleges for central counterparties](#)
- [Guidelines on Interoperability](#)
- [Guidelines on standard written agreement for CCP colleges](#)

## **Settlement Finality Directive**

## **Technical advices**

### **Third countries equivalence**

- [Technical Advice for Australia & supplement](#)
- [Technical Advice for Canada](#)
- [Technical Advice for Hong-Kong & supplement](#)
- [Technical Advice for Japan & supplement](#)
- [Technical Advice for India](#)
- [Technical Advice for Singapore & supplement](#)
- [Technical Advices on South Korea](#)
- [Technical Advice for Switzerland & supplement](#)
- [Technical Advice for US](#)
- [Request for technical advice on equivalence](#)



20121011 Formal  
request for technical .

- [Request for technical advice on equivalence](#) – Update 1



20130411 EC\_ESMA  
request for technical .

- [Request for technical advice on equivalence](#) – Update 2
- [Request for technical advice on equivalence](#)– Update 3

### **Trade repositories**

#### **Fees for TRs**

- [Technical advice](#)
- [Request for technical advice](#)

#### **Fines for TRs**

- [Technical advice](#)
- [Request for technical advice](#)

## **DRAFT technical Standards**

### **EMIR**

#### **DRAFT technical standards EMIR & impact assessment**

##### **Trade reporting**

- [DRAFT implementing technical standards on the format and frequency of trade reports to trade repositories](#)

## Trade repositories

### CCPs

### OTC derivatives and clearing obligation

- [DRAFT regulatory technical standards on contract with a direct, substantial and foreseeable effect within the Union and non-evasion](#)
- [Request for technical standards on contract with a direct, substantial and foreseeable effect within the Union and non-evasion](#) – Update 1

## Consultation/discussion papers

### EMIR

- [Consultation paper on EMIR](#)
- [Discussion paper on EMIR](#)

### Trade repositories

- [Consultation paper on advice to the commission on fees for trade repositories](#)

### CCPs

- [Consultation paper on guidelines for establishing consistent, efficient and effective assessments of interoperability arrangements](#)

### OTC derivatives

- [Consultation paper on contract with a direct, substantial and foreseeable effect within the Union and non-evasion](#)
- [Joint Discussion Paper on on risk mitigation techniques for OTC derivatives not cleared by a CCP](#)

### Clearing obligation

- [Discussion paper on the clearing obligation](#)
- [Consultation paper on the clearing obligation n1 IRS](#)
- [Consultation paper on the clearing obligation n2 CDS](#)

### CSDR

- [Discussion paper on draft technical standards for the regulation on improving securities settlement in the European Union and on central securities depositories \(CSD\)](#)

## **Practical guidance**

### **Guide for NFC**

[Quick guide](#)

### **Guide for applicant TRs**

[Guidance](#)

### **Guide for applicant third country (non-EU) CCPs**

[Practical guidance](#)

## **Opinions**

[Opinion on regulatory technical standards on colleges for central counterparties](#)

[Opinion on Voting procedures for CCP colleges under EMIR](#)

## **Correspondance**

### **Financial instrument definition**

- [Letter from ESMA to the EC on Financial Instruments](#)
- [Reply from EC to ESMA on financial Instruments](#)
- [Letter from the European Commission to ESMA on the definition of financial instrument relating to foreign currency](#)

### **Reporting Exchange Traded Derivatives (ETDs)**

- [EC reply to ESMA second letter on reporting of ETDs](#)
- [Reply to the EC letter on reporting of ETDs](#)
- [Letter to EC on reporting of ETDs](#)

### **Frontloading**

- [Letter from ESMA to the European Commission on frontloading](#)
- [Reply from the European Commission to ESMA on frontloading](#)